IN THE UNITED STATES DISTRICT COURT FOR THE DISTRICT OF DELAWARE

REALTIME DATA	LLC	d/b/a	IXO,
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Plaintiff,

v.

ARRAY NETWORKS INC.,

C.A. No. 1:17-cv-00800-CFC

(Lead Case)

JURY TRIAL DEMANDED

Defendant.

REALTIME DATA LLC d/b/a IXO,

Plaintiff,

v.

REDUXIO SYSTEMS, INC.,

Defendant.

C.A. No. 1:17-cv-1676-CFC

JURY TRIAL DEMANDED

FIRST AMENDED COMPLAINT FOR PATENT INFRINGEMENT AGAINST REDUXIO SYSTEMS, INC.

This is an action for patent infringement arising under the Patent Laws of the United States of America, 35 U.S.C. § 1 *et seq.* in which Plaintiff Realtime Data LLC d/b/a IXO ("Plaintiff," "Realtime," or "IXO") makes the following allegations against Defendants Reduxio Systems, Inc. ("Reduxio" or "Defendant"):

PARTIES

1. Realtime is a limited liability company organized under the laws of the State of New York. Realtime has a place of business at 81 Main Street, Suite 209, White Plains, NY 10601. Since the 1990s, Realtime has researched and developed specific solutions for data compression, including, for example, those that increase the speeds at which data can be stored and accessed. As recognition of its innovations rooted in this technological field,

Realtime holds a portfolio of United States patents. Realtime has licensed patents in this portfolio to many of the world's leading technology companies. The patents-in-suit relate to Realtime's development of advanced systems and methods for fast and efficient data compression using numerous innovative compression techniques based on, for example, particular attributes of the data.

2. On information and belief, Reduxio Systems, Inc. is a Delaware corporation with its principal place of business at 111 Pine Ave., South San Francisco, CA 94080. Reduxio Systems, Inc. can be served through its registered agent, VCORP Services, LLC, at 1013 Centre Road, Suite 403-B, Wilmington, DE 19805.

JURISDICTION AND VENUE

- 3. This action arises under the patent laws of the United States, Title 35 of the United States Code. This Court has original subject matter jurisdiction pursuant to 28 U.S.C. §§ 1331 and 1338(a).
- 4. This Court has personal jurisdiction over Defendant Reduxio in this action because Reduxio is incorporated in Delaware and has committed acts within the District of Delaware giving rise to this action and has established minimum contacts with this forum such that the exercise of jurisdiction over Reduxio would not offend traditional notions of fair play and substantial justice. Reduxio, directly and through subsidiaries or intermediaries, has committed and continues to commit acts of infringement in this District by, among other things, offering to sell and selling products and/or services that infringe the asserted patents.
- 5. Venue is proper in this district under 28 U.S.C. § 1400(b). Upon information and belief, Reduxio is incorporated in Delaware, has transacted business in the District of Delaware, and has committed acts of direct and indirect infringement in the District of Delaware.

ASSERTED PATENTS

- 6. Plaintiff Realtime is the owner by assignment of United States Patent No. 9,054,728 ("the '728 Patent") entitled "Data compression systems and methods." The '728 Patent was duly and legally issued by the United States Patent and Trademark Office on June 9, 2015. A true and correct copy of the '728 Patent is included as Exhibit A.
- 7. Plaintiff Realtime is the owner by assignment of United States Patent No. 9,667,751 ("the '751 Patent") entitled "Data feed acceleration." The '751 Patent was duly and legally issued by the United States Patent and Trademark Office on May 30, 2017. A true and correct copy of the '751 Patent is included as Exhibit B.
- 8. Plaintiff Realtime is the owner by assignment of United States Patent No. 8,717,203 ("the '203 Patent") entitled "Data compression systems and methods." The '203 Patent was duly and legally issued by the United States Patent and Trademark Office on May 6, 2014. A true and correct copy of the '203 Patent is included as Exhibit C.
- 9. Plaintiff Realtime is the owner by assignment of United States Patent No. 9,116,908 ("the '908 Patent") entitled "System and methods for accelerated data storage and retrieval." The '908 Patent was duly and legally issued by the United States Patent and Trademark Office on August 25, 2015, and Claims 1, 2, 4-6, 9, 11, 21, 22, 24, and 25 of the '908 Patent confirmed as patentable in a Final Written Decision of the Patent Trial and Appeal Board on October 31, 2017. A true and correct copy of the '908 Patent is included as Exhibit D.
- 10. In addition to the factual allegations set forth below for each of the three Counts, the following are non-exhaustive list of fact-based claim constructions that confirm that the claimed solutions do not just cover any form of digital data compression techniques but instead are more focused—and covers a technical sub-species of digital data

compression. These constructions include the following:1

- a. "compressing" / "compressed" / "compression": [representing / represented / representation of] data with fewer bits.
- b. "descriptor": recognizable digital data
- c. "data stream": one or more data blocks transmitted in sequence
- d. "data block": a single unit of data, which may range in size from individual bits through complete files or collection of multiple files
- e. "analyze": directly examine
- 11. Prior constructions in earlier-filed cases involving these or related patents confirm that the claimed methods and systems are in fact limited to *the compression of digital data*. For example, pursuant to a stipulation, a Texas court construed the term "compress"—a term used in all patents—to mean "represent data with fewer bits." *Realtime Data LLC v. Actian Corp. et al.*, Case No. 15-cv-463-RWS-JDL, (D.I. 362) (E.D. Tex. July 28, 2016). This construction confirmed that the claimed inventions were limited to the realm of digital-data compression, as a "bit" is a unit of digital data. The constructions of other claim terms, such as "data block" and "accelerator" also confirmed that the patented inventions are unique to the compression of digital data. For example, the plain and ordinary meaning of the term "data block" was stipulated to be "a single unit of data," which may only "range in size from individual bits through complete files or collection of multiple files." *Realtime Data LLC v. Actian Corp. et al.*, Case No. 15-cv-463-RWS-JDL, (D.I. 362) (E.D. Tex. July 28, 2016).
- 12. These Patents and related patents have gone through §101 scrutiny before in multiple districts. In a detailed, twenty-two-page opinion issued on September 20, 2017, a court in Texas ruled, in a Report and Recommendation by Magistrate Judge Love, that

¹ Realtime reserves the right to modify these constructions as case progresses, consistent with the practice of meeting and conferring that are typical in any claim construction proceeding.

- U.S. Patent Nos. 9,054,728, 7,415,530, and 9,116,908 are "inventive" and "directed to patent eligible subject matter" because they disclose "specific improvement[s] in computer capabilities." *Realtime Data LLC v. Carbonite, Inc.*, Case No. 17-cv-121, D.I. 70 (E.D. Tex. Sept. 20, 2017), *e.g.*, at 7, 10, 15, 16, 20.
- 13. On March 7, 2018, after the Carbonite case was transferred to Massachusetts, District Judge Young in Massachusetts adopted in full Judge Love's rulings "[a]fter careful consideration." *Realtime Data LLC v. Carbonite, Inc.*, Case No. 1:17-cv-12499, D.I. 97 (D. Mass. March 7, 2018).
- 14. In addition, two judges in Texas also denied other §101 motions involving the asserted or related patents. Specifically at issue were U.S. Patent Nos. 7,378,992, 7,415,530, and 8,643,513. In one, Magistrate Judge Love held that "an assessment of the claims at issues—by a careful reading of the claims themselves—does not clearly reveal that the patents are abstract." *Realtime Data LLC v. Actian Corp.*, 6:15-CV-463-RWS-JDL, D.I. 184 (E.D. Tex. Nov. 30, 2015). In the other, District Judge Schroeder adopted this ruling and further held that under Realtime's view, namely, that the claims are directed to the compression of digital data, the argument that the patents are directed to an abstract idea "would fail" because the patents "provide technological solutions to problems arising specifically in the realm of computer technology." *Realtime Data LLC v. Actian Corp.*, 6:15-CV-463-RWS-JDL, D.I. 226 (E.D. Tex. Jan. 21, 2016). Thus, in affirming the denial of the motions to dismiss, Judge Schroeder stated that, if the claim construction proceedings confirmed that the claimed inventions are specific to the methods and systems for the compression of digital data, then the claims would indeed be patent-eligible.
- 15. These rulings show that the patents are directed to patent eligible subject matter, and that they are also inventive.

COUNT I

INFRINGEMENT OF U.S. PATENT NO. 9,054,728

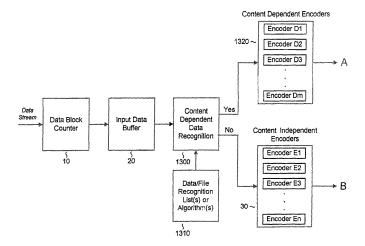
- 16. Plaintiff realleges and incorporates by reference the allegations above, as if fully set forth herein.
- 17. Plaintiff Realtime is the owner by assignment of United States Patent No. 9,054,728 ("the '728 Patent") entitled "Data compression systems and methods." The '728 Patent was duly and legally issued by the United States Patent and Trademark Office on June 9, 2015. A true and correct copy of the '728 Patent is included as Exhibit A.
- 18. The claims of the '728 Patent are not abstract, but rather are limited to particularized technological solutions that improve computer capabilities—e.g., digital data compression systems to increase the capacity of a computer system to store or transfer data more efficiently. The claims are not directed solely to compressing data based on the content of the data, but also to selecting the appropriate compression type to use based not just on a file descriptor, but instead the content of the data and to compressing the data with two different types of compression techniques. This is but one of the novelties of the inventions of the '728 Patent.
- 19. Because the claims are limited to the field of data blocks and are designed to increase the capacity of a computer system to store or transfer data, the claims of the '728 Patent are incapable of being performed by pen and pencil. This is reflected in the fact that data has been construed previously, and should be construed herein, to mean "digital data." Accordingly, the claims of the '728 Patent reflect an improvement to computing technology and computers and do not reflect a previously existing solution that are simply being performed on a computer.

- 20. The '728 Patent teaches various improved, particularized digital data compression systems and methods to address problems specific to digital data. Indeed, the patent itself states that it deals specifically with limitations and problems arising in the realm of compressing "[d]iffuse digital data" which is "a representation of data that ... is typically not easily recognizable to humans in its native form." '728 patent at 1:52-55.
- 21. In their most basic form, and ignoring many claim limitations, the claims of the '728 Patent are directed to systems and methods providing fast and efficient data compression using a combination of content independent data compression and content dependent data compression. *See*, *e.g.*, '728 Patent at Abstract, 3:59-5:12. The '728 Patent addresses problems that existed in the realm of digital data compression, including:
 - a. "Lossy data compression techniques provide for an inexact representation of the original uncompressed data such that the decoded (or reconstructed) data differs from the original unencoded/uncompressed data"
 - b. One fundamental problem encountered with most lossless data compression techniques are their content sensitive behavior"
 - c. "Another problem with lossless compression is that there are significant variations in the compression ratio obtained when using a single lossless data compression technique for data streams having different data content and data size"

d. "A further problem is that negative compression may occur when certain data compression techniques act upon many types of highly compressed data."

'458 Patent at 1:38-3:55.

- 22. The '728 Ppatent solves the foregoing problems with novel technological solutions in digital data compression utilizing a combination of content independent data compression and content dependent data compression where the encoder selected for the content dependent compression is selected based on more than just a file extension. The novel approaches taught in the specification, include:
 - a. "analyzing a data block of an input data stream to identify a data type of the data block, the input data stream comprising a plurality of disparate data types;"
 - b. "performing content dependent data compression on the data block,if the data type of the data block is identified;"
 - c. "performing content independent data compression on the data block, if the data type of the data block is not identified"
- 23. '728 Patent at 3:56-5:11; 6:58-9:31. Figure 13A of the '728 Patent is illustrative of one preferred embodiment:



- 24. To address the technological problems, the claims require unconventional combination of elements, e.g., (1) "wherein determining is not based solely on a descriptor that is indicative of the parameter or attribute of the data within the data block"; (2) "compressing, if the parameter or attribute of the data ... is identified, the data block with at least one encoder associated with the parameter or attribute," and (3) "compressing, if the parameter or attribute ... is not identified, the data block with at least one encoder associated with a non-identifiable parameter or attribute."
- 25. The claims require unconventional combination of elements, *e.g.*: (a) "processor"; (b) "one or more content dependent data compression encoders"; and (c) "a single compression encoder"; wherein the processor is configured to (d) "analyze data within a data block to identify one or more parameters or attributes of the data wherein the analyzing of the data within the data block to identify the one or more parameters or attributes of the data excludes analyzing based solely on a descriptor that is indicative of the one or more parameters or attributes of the data within the data block;" (e) "to perform content dependent data compression with the one or more content dependent data compression encoders if the one or more parameters or attributes of the data are identified;

- and (f) "to perform data compression with the single data compression encoder, if the one or more parameters or attributes of the data are not identified." The processor is specially programmed to perform the algorithms taught by Fig. 13A, among the other teachings of the patent. '728 Patent at 3: 6:58-9:31.
- 26. Further, the file history of the '728 Patent confirms that the claims were inventive over prior art and not well-understood, routine, and conventional. For instance, the patent claims were allowed by the PTO after the PTO considered hundreds of references, which are cited in the "References Cited" portion of the patent.
- 27. Claim 1 is not representative of all claims of the '728 Patent. For example, claim 24 claims the use of a "default" compression encoder. Claim 25 claims making a determination as to whether to compress at all.
- 28. The claims of the '728 Patent do not merely recite a result. Instead, they recite specific steps for accomplishing a result—a processor configured to analyze data in the claimed manner and compress two data blocks with two different compression techniques.
- 29. The dependent claims contain limitations not found in the independent claims. For example, dependent claim 4 recites "wherein the compressing, is performed in real-time."; claim 9 recites "wherein the processor is further configured to associate a data token indicative of the content dependent data compression applied to the data block to create a compressed data block"; claim 12 recites "wherein the content dependent data compression is lossy or lossless depending on the one or more parameters or attributes of the data"; claim 15 recites "wherein a compressed data block is stored."

- 30. In a patent filed by Altera in 2012, it admitted that there was still a technical problem associated with computer capacity and a need for a more efficient compression system: "In order to better meet the requirements of higher speed data transfer, reduced memory utilization and minimal computation in many computing applications, a need exists for computationally efficient compression and decompression." U.S. Pat. No. 9,026,568 at 2:43-47.
- 31. Similarly, in a 2013 patent filed by Western Digital, it also admitted that there was still a technical problem associated with computer capacity and a need for a more efficient compression system: "It is desirable to provide mechanisms and architectures for increasing capacity, reliability, and performance of data storage systems." U.S. Pat. No. 9,448,738 at 1:33-35.
- 32. The statements in these later-filed patents confirm that the '728 Patent is directed to technical solutions to technical problems, and improves computer functionalities. The statements in these later-filed patents also confirm that the limitations recited in the '728 Patent are not well-understood, routine, or conventional, and that the claims are not directed to other ideas "identified by the courts as abstract ideas," that recently have been synthesized into three groups: "(a) mathematical concepts"; "(b) methods of organizing human activity"; or "(c) mental processes." 84 Fed. Reg. 50 (Jan. 7, 2019) (2019 PTO §101 Guidance, citing and surveying post-*Alice* decisions).
- 33. On information and belief, Reduxio has offered for sale, sold and/or imported into the United States Reduxio products and services that infringe the '728 Patent, and continues to do so. By way of illustrative example, these infringing products and services include, without limitation, Reduxio's NoDup, BackDating, NoRestore, NoMigrate, and Tier-X products and services, and the system hardware on which they

operate, and all versions and variations thereof since the issuance of the '728 Patent (the "Accused Instrumentalities").

- 34. On information and belief, Reduxio has directly infringed and continues to infringe the '728 Patent, for example, by making, selling, offering for sale, and/or importing the Accused Instrumentalities, and through its own use and testing of the Accused Instrumentalities, which constitute systems for compressing data claimed by Claim 1 of the '728 Patent, comprising: a processor; one or more content dependent data compression encoders; and a single data compression encoder; wherein the processor is configured: to analyze data within a data block to identify one or more parameters or attributes of the data wherein the analyzing of the data within the data block to identify the one or more parameters or attributes of the data excludes analyzing based solely on a descriptor that is indicative of the one or more parameters or attributes of the data within the data block; to perform content dependent data compression with the one or more content dependent data compression encoders if the one or more parameters or attributes of the data are identified; and to perform data compression with the single data compression encoder, if the one or more parameters or attributes of the data are not identified. Upon information and belief, Reduxio uses the Accused Instrumentalities, which are infringing systems, for its own internal non-testing business purposes, while testing the Accused Instrumentalities, and while providing technical support and repair services for the Accused Instrumentalities to Reduxio's customers.
- 35. On information and belief, Reduxio has had knowledge of the '728 Patent since at least the filing of this Complaint or shortly thereafter, and on information and belief, Reduxio knew of the '728 Patent and knew of its infringement, including by way of this lawsuit.
- 36. Reduxio's affirmative acts of making, using, selling, offering for sale, and/or importing the Accused Instrumentalities have induced and continue to induce users of the Accused Instrumentalities to use the Accused Instrumentalities in their normal and

customary way on compatible systems to infringe Claim 1 of the '728 Patent, knowing that when the Accused Instrumentalities are used in their ordinary and customary manner with such compatible systems, such systems constitute infringing systems for compressing data comprising; a processor; one or more content dependent data compression encoders; and a single data compression encoder; wherein the processor is configured: to analyze data within a data block to identify one or more parameters or attributes of the data wherein the analyzing of the data within the data block to identify the one or more parameters or attributes of the data excludes analyzing based solely on a descriptor that is indicative of the one or more parameters or attributes of the data within the data block; to perform content dependent data compression with the one or more content dependent data compression encoders if the one or more parameters or attributes of the data are identified; and to perform data compression with the single data compression encoder, if the one or more parameters or attributes of the data are not identified. For example, Reduxio explains to customers the benefits of using the Accused Instrumentalities: "Unlike other solutions, both deduplication and compression are combined for maximum savings for practically databases, virtual machines, file shares more." any data and See https://www.reduxio.com/wp-content/themes/reduxio/brief/Reduxio_NoDup_PB.pdf. For similar reasons, Reduxio also induces its customers to use the Accused Instrumentalities to infringe other claims of the '728 Patent. Reduxio specifically intended and was aware that the normal and customary use of the Accused Instrumentalities on compatible systems would infringe the '728 Patent. Reduxio performed the acts that constitute induced infringement, and would induce actual infringement, with the knowledge of the '728 Patent and with the knowledge, or willful blindness to the probability, that the induced acts would constitute infringement. On information and belief, Reduxio engaged in such inducement to promote the sales of the Accused Instrumentalities, e.g., through Reduxio's user manuals, product support, marketing materials, and training materials to actively induce the users of the accused products to infringe the '728 Patent.

Accordingly, Reduxio has induced and continues to induce end users of the accused products to use the accused products in their ordinary and customary way with compatible systems to make and/or use systems infringing the '728 Patent, knowing that such use of the Accused Instrumentalities with compatible systems will result in infringement of the '728 Patent.

- 37. The Accused Instrumentalities include a system for compressing data, comprising a processor. For example, "Reduxio delivers flash storage solutions, based on the Intel® Xeon® Processor E5 family." *See, e.g.*, https://www.reduxio.com/reduxio-discusses-big-leap-forward-storage-architecture-citc-episode-94. On information and belief, all of the Accused Instrumentalities include or run on devices that have processors.
- 38. The Accused Instrumentalities include a system for compressing data, comprising one or more content dependent data compression encoders. For example, the Accused Instrumentalities perform block-level deduplication, which is a content dependent data compression encoder. *See*, *e.g.*, https://beyondtheblocks.reduxio.com/demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest."). Performing deduplication results in compression by representing data with fewer bits.
- 39. The Accused Instrumentalities comprise a single data compression encoder. *See*, *e.g.*, https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is deduped and compressed on the fly.").
- 40. The Accused Instrumentalities analyze data within a data block to identify one or more parameters or attributes of the data, for example, whether the data is duplicative of data previously transmitted and/or stored, where the analysis does not rely only on the descriptor. *See*, *e.g.*, https://beyondtheblocks.reduxio.com/demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").

- 41. The Accused Instrumentalities perform content dependent data compression with the one or more content dependent data compression encoders if the one or more parameters or attributes of the data are identified. *See, e.g.*, https://beyondtheblocks.reduxio.com/demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").
- 42. The Accused Instrumentalities perform data compression with the single data compression encoder, if the one or more parameters or attributes of the data are not identified. *See*, *e.g.*, https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is deduped and compressed on the fly.").
- 43. Reduxio also infringes other claims of the '728 Patent, directly and through inducing infringement and contributory infringement, for similar reasons as explained above with respect to Claim 1 of the '728 Patent.
- 44. On information and belief, use of the Accused Instrumentalities in their ordinary and customary fashion results in infringement of the methods claimed by the '728 Patent.
- 45. By making, using, offering for sale, selling and/or importing into the United States the Accused Instrumentalities, and touting the benefits of using the Accused Instrumentalities' compression features, Reduxio has injured Realtime and is liable to Realtime for infringement of the '728 Patent pursuant to 35 U.S.C. § 271.
- 46. As a result of Reduxio's infringement of the '728 Patent, Plaintiff Realtime is entitled to monetary damages in an amount adequate to compensate for Reduxio's infringement, but in no event less than a reasonable royalty for the use made of the invention by Reduxio, together with interest and costs as fixed by the Court.

COUNT II

INFRINGEMENT OF U.S. PATENT NO. 9,667,751

- 47. Plaintiff realleges and incorporates by reference the allegations above, as if fully set forth herein.
- 48. Plaintiff Realtime is the owner by assignment of United States Patent No. 9,667,751 ("the '751 Patent") entitled "Data feed acceleration." The '751 Patent was duly and legally issued by the United States Patent and Trademark Office on May 30, 2017. A true and correct copy of the '751 Patent is included as Exhibit B.
- 49. The claims of the '751 Patent are not abstract, but rather are limited to particularized technological solutions that improve computer capabilities—e.g., digital data compression systems to increase the capacity of a computer system to store or transfer data more efficiently.
- 50. The '751 Patent teaches various improved, particularized digital data compression systems and methods to address problems specific to digital data. Indeed, the patent itself indicates that it deals specifically with limitations and problems arising in the realm of compressing digital data. *See*, *e.g.*, '751 Patent at 3:38-45. The claims are not directed merely to compressing data or merely using compression to achieve faster data storage, but also to selecting an encoder to encode data based on more than just a computer descriptor, but instead the content of the data. This is but one of the novelties of the inventions of the '751 Patent.
- 51. Because the claims are limited to the field of data blocks and are designed to increase the capacity of a computer system to store or transfer data, the claims of the '751 Patent are incapable of being performed by pen and pencil. This is reflected in the fact that data has been construed previously, and should be construed herein, to mean "digital data."

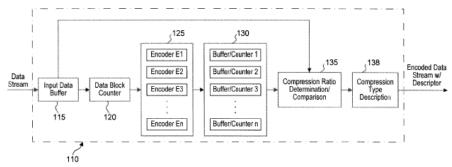
Accordingly, the claims of the '751 Patent reflect an improvement to computing technology and computers and do not reflect a previously existing solution that are simply being performed on a computer.

- 52. In their most basic form, and ignoring many claim limitations, the claims of the '751 Patent are directed to systems and methods for providing accelerated data transmission of digital data and effectively increasing the bandwidth of the communication channel and/or reducing the latency of data transmission. '751 Patent at Abstract, 5:33–50. The '751 Patent addresses specific problems in the field of optimally transmitting digital data, including:
 - a. "the latency induced by the act of encryption, compression, decryption, and decompression"
 - b. "substantial latency caused by aggregating data packets due to poor data compression efficiency and packet overhead"
 - c. capacity limitations of data transmission using existing T1 lines
 - d. "[t]he limitation of highly significant bandwidth and/or long delays with co-location processing and long latency times"

'751 Patent at 1:40-5:22.

53. The '751 Patent solves these and other technological problems and limitations in the prior art by providing novel technological solutions in digital data transmission, which provide, among other things, transmission and transparent multiplication of digital-data communication bandwidth, as well as a potential reduction of the latency associated with data transmission of conventional systems, and also by utilizing a state machine to compress data blocks based on an analysis of the specific content of the

data being encoded. *Id.* at 5:13–29, 6:13–40. "The effective increase in bandwidth and reduction of latency of the communication channel is achieved by virtue of the faster than real-time, real-time, near real-time, compression of a received data stream prior to transmission." *Id.* at 6:28–40. The claimed invention recognizes a characteristic, attribute, or parameter of data to select a compression encoder, and uses a state machine to provide compressed data. *Id.* Advantages of the claimed inventions include "a consistent reduction in latency" where "[t]he data compression ratio is substantial and repeatable on each data packet," and packet independence (i.e., "no packet-to-packet data dependency"). at 7:52–8:2. Figure 5 of the '751 Patent is illustrative of one preferred embodiment:



54. To address the technological problems, the claims require unconventional combination of elements, e.g.,: (a) "identif[ying] a parameter, attribute, or value of the data block," (b) analysis "that excludes analyzing based solely on reading a descriptor," (c) "selecting an encoder associated with the identified parameter, attribute, or value"; (c) "compressing data ... with the selected encoder ... utilizing a state machine"; (d) "storing compressed data block"; and (e) wherein "the time of the compressing the data block and the storing the compressed data block is less than the time of storing the data block in uncompressed form."

- 55. Further, the file history confirms that the claims were inventive over prior art and not well-understood, routine, and conventional. For instance, the patent claims were allowed by the PTO after the PTO considered hundreds of references, which are cited in the "References Cited" portion of the patent.
- 56. Claim 1 is not representative of all claims of the '751 Patent. For example, claim 2 and 26 require "data packet including both control information and compressed data information," which is not a limitation in claim 1 or other claims. As another example, claims 3 and 27 recite "utilizing Transmission Control Protocol/Internet Protocol (TCP/IP)," which is not a limitation in claim 1 or other claims. Claims 5 and 29 recite "sequence with a plurality of synchronization points." As another example, claims 6, 7, 8, 30, 31, 32 recite "User Datagram Protocol (UDP) Packets." Claims 10 and 33 recites "predetermined byte sequence." Claim s11 and 34 recite "transmitting the compressed data block in a packetized data stream having data packets that include control information and compressed data information, and wherein the selected encoder is a packet independent encoder." Claims 12, 16, 17, 18, 36, 37, 38, 39, 40, 41, 42, 44 recite "state machine" with specific configurations. As another example, claim 15 requires "transmitting the compressed data blocks in a packetized data stream of data packets having control and compressed data information, and resetting the one or more local state machines at a predetermined point of each data packet in the packetized data stream," which is not a limitation in claim 1 or other claims. Claims 19 and 20 recites "resetting the adaptive table at a point of each data packet in the packetized data stream." Claims 21, 22, 45, 46 recites "lossless" encoder. Claims 23 and 47 recite "buffer." These various limitations not recited in claim 1 confirms that claim 1 is not representative of the entire patent. Moreover, these additional limitations not

recited in claim 1 further confirm that these are technological, computer improvements to technological, computer problems, as these additional limitations are not abstract but rather recite computer-based improvements to computer-based problems.

57. The claims of the '751 Patent do not merely recite a result. Instead, they recite specific steps for accomplishing a result—e.g., comprising doing analysis that excludes analyzing based solely on reading a descriptor, selecting an encoder associated with the identified parameter, attribute, or value, and utilizing a state machine, among other things. While the claims are much more than just use of a state machine, it is also noteworthy that a state machine is not an abstract idea either. For instance, state machines are specific computer solutions to computer problems. For example, Figure 3 of the '751 "flow diagram illustrating a method Patent illustrates a for compression/decompression state machines according to one aspect of the present invention." '751 Patent at Fig. 3; 5:62-64. Figure 3 illustrates specific technological implementation, including "[p]rocess input data to acquire counts of N-tuple sequences in each global state," "[a]pply predetermined count threshold," and "generate a substate for each N-tuple whose count exceeds predetermined count threshold." Id. The '751 Patent further explains "using Huffman or Arithmetic encoding, wherein one or more state machine 27-27n are constructed based on a-priori knowledge of the structure and content of one or more given broadcast and data feeds," ('751 Patent at 9:6-10), which further confirms that state machines and other components of the '751 Patent's invention are technological, computer solutions to technological, computer problems, rather than merely abstract information processing.

- 58. The dependent claims contain limitations not found in independent claims. For example, dependent claim 2 recites "transmitting the compressed data block in a data packet to a client, the data packet including both control information and compressed data information"; claim 3 recites "wherein the compressed data block is transmitted utilizing Transmission Control Protocol/Internet Protocol (TCP/IP)"; claim 10 recites "wherein the at least one synchronization point is a predetermined byte sequence"; and claim 11 recites "transmitting the compressed data block in a packetized data stream having data packets that include control information and compressed data information, and wherein the selected encoder is a packet independent encoder." Other claims also contain limitations not found in independent claims, as explained above.
- 59. In a patent filed by Altera in 2012, it admitted that there was still a technical problem associated with computer capacity and a need for a more efficient compression system: "In order to better meet the requirements of higher speed data transfer, reduced memory utilization and minimal computation in many computing applications, a need exists for computationally efficient compression and decompression." U.S. Pat. No. 9,026,568 at 2:43-47.
- 60. Similarly, in a 2013 patent filed by Western Digital, it also admitted that there was still a technical problem associated with computer capacity and a need for a more efficient compression system: "It is desirable to provide mechanisms and architectures for increasing capacity, reliability, and performance of data storage systems." U.S. Pat. No. 9,448,738 at 1:33-35.
- 61. The statements in these later-filed patents confirm that the '751 Patent is directed to technical solutions to technical problems, and improves computer

functionalities. The statements in these later-filed patents also confirm that the limitations recited in the '751 Patent are not well-understood, routine, or conventional, and that the claims are not directed to other ideas "identified by the courts as abstract ideas," that recently have been synthesized into three groups: "(a) mathematical concepts"; "(b) methods of organizing human activity"; or "(c) mental processes." 84 Fed. Reg. 50 (Jan. 7, 2019) (2019 PTO §101 Guidance, citing and surveying post-*Alice* decisions).

- 62. On information and belief, Reduxio has offered for sale, sold and/or imported into the United States Reduxio products and services that infringe the '751 Patent, and continues to do so. By way of illustrative example, these infringing products and services include, without limitation, Reduxio's NoDup, BackDating, NoRestore, NoMigrate, and Tier-X products and services, and the system hardware on which they operate, and all versions and variations thereof since the issuance of the '751 Patent (the "Accused Instrumentalities").
- 63. On information and belief, Reduxio has directly infringed and continues to infringe the '751 Patent, for example, through its own use and testing of the Accused Instrumentalities, which in the ordinary course of their operation form a system for compressing data claimed by Claim 25 of the '751 Patent, including: a data server implemented on one or more processors and one or more memory systems; the data server configured to analyze content of a data block to identify a parameter, attribute, or value of the data block that excludes analysis based solely on reading a descriptor; the data server configured to select an encoder associated with the identified parameter, attribute, or value; the data server configured to compress data in the data block with the selected encoder to produce a compressed data block, wherein the compression utilizes a state machine; and the data server configured to store the compressed data block; wherein the time of the compressing the data block and the storing the compressed data block is less than the time of storing the data block in uncompressed form. Upon information and belief, Reduxio

uses the Accused Instrumentalities, which are infringing systems, for its own internal non-testing business purposes, while testing the Accused Instrumentalities, and while providing technical support and repair services for the Accused Instrumentalities to Reduxio's customers.

- 64. On information and belief, Reduxio has had knowledge of the '751 Patent since at least the filing of this Complaint or shortly thereafter, and on information and belief, Reduxio knew of the '751 Patent and knew of its infringement, including by way of this lawsuit.
- 65. Upon information and belief, Reduxio's affirmative acts of making, using, and selling the Accused Instrumentalities, and providing implementation services and technical support to users of the Accused Instrumentalities, have induced and continue to induce users of the Accused Instrumentalities to use them in their normal and customary way to infringe Claim 25 of the '751 Patent by making or using a data server implemented on one or more processors and one or more memory systems; the data server configured to analyze content of a data block to identify a parameter, attribute, or value of the data block that excludes analysis based solely on reading a descriptor; the data server configured to select an encoder associated with the identified parameter, attribute, or value; the data server configured to compress data in the data block with the selected encoder to produce a compressed data block, wherein the compression utilizes a state machine; and the data server configured to store the compressed data block; wherein the time of the compressing the data block and the storing the compressed data block is less than the time of storing the data block in uncompressed form. For example, Reduxio explains to customers the benefits of using the Accused Instrumentalities: "Unlike other solutions, both deduplication and compression are combined for maximum savings for practically any data – databases, virtual machines, file shares and more." See https://www.reduxio.com/wpcontent/themes/reduxio/brief/Reduxio_NoDup_PB.pdf. For similar reasons, Reduxio also induces its customers to use the Accused Instrumentalities to infringe other claims of

the '751 Patent. Reduxio specifically intended and was aware that these normal and customary activities would infringe the '751 Patent. Reduxio performed the acts that constitute induced infringement, and would induce actual infringement, with the knowledge of the '751 Patent and with the knowledge, or willful blindness to the probability, that the induced acts would constitute infringement. On information and belief, Reduxio engaged in such inducement to promote the sales of the Accused Instrumentalities. Accordingly, Reduxio has induced and continues to induce users of the accused products to use the accused products in their ordinary and customary way to infringe the '751 Patent, knowing that such use constitutes infringement of the '751 Patent.

- 66. The Accused Instrumentalities include a system for compressing data. *See*, *e.g.*, https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is deduped and compressed on the fly."); https://beyondtheblocks.reduxio.com/demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").
- 67. The Accused Instrumentalities include a data server implemented on one or more processors and one or more memory systems. For example, "Reduxio delivers flash storage solutions, based on the Intel® Xeon® Processor E5 family." *See, e.g.*, https://www.reduxio.com/reduxio-discusses-big-leap-forward-storage-architecture-citc-episode-94. The Accused Instrumentalities also use one or more memory systems, including storage media at remote storage facilities, such as, for example, on Flash storage. *See, e.g.*, https://www.reduxio.com/products/hx-series. All of the Accused Instrumentalities also utilize memory systems.
- 68. The Accused Instrumentalities include a data server configured to analyze content of a data block to identify a parameter, attribute, or value of the data block that excludes analysis based solely on reading a descriptor. See, e.g., https://beyondtheblocks.reduxio.com/demystifying-data-deduplication ("In the data

deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").

- 69. The Accused Instrumentalities include a data server configured to select an encoder associated with the identified parameter, attribute, or value. For example, the Accused Instrumentalities select between deduplication or other compression. *See, e.g.,* https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is deduped and compressed on the fly."); https://beyondtheblocks.reduxio.com/demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").
- 70. The Accused Instrumentalities include a data server configured to compress data in the data block with the selected encoder to produce a compressed data block, wherein the compression utilizes state machine. See. a e.g., https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is deduped and compressed on the fly.").
- 71. The Accused Instrumentalities include a data server configured to store the compressed data block. For example, the Accused Instrumentalities have storage media, such as, for example, on Flash storage, at remote storage facilities controlled by data servers. *See*, *e.g.*, https://www.reduxio.com/products/hx-series. Also, compressed data blocks are stored temporarily in volatile memory when they are created.
- 72. The time of the compressing the data block and the storing the compressed data block in the Accused Instrumentalities is less than the time of storing the data block in uncompressed form. Due to the data reduction and acceleration features of the specific compression algorithms used, the time of the compressing the data block and the storing the compressed data block is less than the time of storing the data block in uncompressed form. *See, e.g.*, https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is deduped and compressed on the fly."); https://beyondtheblocks.reduxio.com/

demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").

- 73. On information and belief, Reduxio also infringes, directly and through induced infringement, and continues to infringe other claims of the '751 Patent, for similar reasons as explained above with respect to Claim 25 of the '751 Patent.
- 74. On information and belief, use of the Accused Instrumentalities in their ordinary and customary fashion results in infringement of the methods claimed by the '751 Patent.
- 75. By making, using, offering for sale, selling and/or importing into the United States the Accused Instrumentalities, and touting the benefits of using the Accused Instrumentalities' compression features, Reduxio has injured Realtime and is liable to Realtime for infringement of the '751 Patent pursuant to 35 U.S.C. § 271.
- 76. As a result of Reduxio's infringement of the '751 Patent, Plaintiff Realtime is entitled to monetary damages in an amount adequate to compensate for Reduxio's infringement, but in no event less than a reasonable royalty for the use made of the invention by Reduxio, together with interest and costs as fixed by the Court.

COUNT III

INFRINGEMENT OF U.S. PATENT NO. 8,717,203

- 77. Plaintiff realleges and incorporates by reference the allegations above, as if fully set forth herein.
- 78. Plaintiff Realtime is the owner by assignment of United States Patent No. 8,717,203 ("the '203 Patent") entitled "Data compression systems and methods." The '203 Patent was duly and legally issued by the United States Patent and Trademark Office on May 6, 2014. A true and correct copy of the '203 Patent is included as Exhibit C.

- 79. The claims of the '203 Patent are not abstract, but rather are limited to particularized technological solutions that improve computer capabilities—e.g., digital data compression systems to increase the capacity of a computer system to store or transfer data more efficiently. The claims are not directed solely to compressing data based on the content of the data, but also to selecting the appropriate compression type to use base not just on a file descriptor, but instead the content of the data and to compressing the data with two different types of compression techniques. This is but one of the novelties of the inventions of the '203 Patent.
- 80. Because the claims are limited to the field of data blocks and are designed to increase the capacity of a computer system to store or transfer data, the claims of the '203 Patent are incapable of being performed by pen and pencil. This is reflected in the fact that data has been construed previously, and should be construed herein, to mean "digital data." Accordingly, the claims herein reflect an improvement to computing technology and computers and do not reflect a previously existing solution that are simply being performed on a computer.
- 81. The '203 Patent teaches various improved, particularized digital data compression systems and methods to address problems specific to digital data. Indeed, the patent itself states that it deals specifically with limitations and problems arising in the realm of compressing "[d]iffuse digital data" which is "a representation of data that... is typically not easily recognizable to humans in its native form." '203 Patent at 1:49-52.
- 82. In their most basic form, and ignoring many claim limitations, the claims of the '203 Patent are directed to systems and methods providing fast and efficient data

compression using a combination of content independent data compression and content dependent data compression. *See*, *e.g.*, '203 Patent at Abstract, 3:52-5:7. The '203 Patent addresses problems that existed in the realm of digital data compression, including:

- a. "Lossy data compression techniques provide for an inexact representation of the original uncompressed data such that the decoded (or reconstructed) data differs from the original unencoded/uncompressed data"
- b. One fundamental problem encountered with most lossless data compression techniques are their content sensitive behavior"
- c. "Another problem with lossless compression is that there are significant variations in the compression ratio obtained when using a single lossless data compression technique for data streams having different data content and data size"
- d. "A further problem is that negative compression may occur when certain data compression techniques act upon many types of highly compressed data."

'203 Patent at 1:35-3:51.

83. The '203 Patent solves the foregoing problems with novel technological solutions in digital data compression utilizing a combination of content independent data compression and content dependent data compression where the encoder selected for the content dependent compression is selected based on more than just a file extension. The novel approaches taught in the specification, include:

- a. "analyzing a data block of an input data stream to identify a data type of the data block, the input data stream comprising a plurality of disparate data types;"
- b. "performing content dependent data compression on the data block,if the data type of the data block is identified;"
- c. "performing content independent data compression on the data block, if the data type of the data block is not identified"

'203 Patent at 3:55-5:7; 6:52-9:26. Figure 2 illustrates of one preferred embodiment:

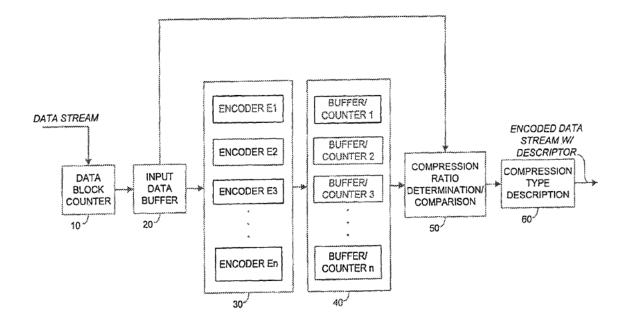


FIG. 2

84. The claims require unconventional combination of elements, *e.g.*: (a) "a data decompression processor configured to analyze the data packet to identify one or more recognizable data tokens associated with the data packet, the one or more recognizable data

identifying a selected encoder used to compress one or more data blocks to provide the one or more compressed data blocks, the encoder being selected based on content of the one or more data blocks on which a compression algorithm was applied;" (b) "one or more decompression decoders configured to decompress a compressed data block from among the one or more compressed data blocks associated with the data packet based on the one or more recognizable data tokens;" wherein: (c) "the one or more decompression decoders are further configured to decompress the compressed data block utilizing content dependent data decompression to provide a first decompressed data block when the one or more recognizable data tokens indicate that the data block was encoded utilizing content dependent data compression;' and (d) "the one or more decompression decoders are further configured to decompress the compressed data block utilizing content independent data decompression to provide a second decompressed data block when the one or more recognizable data tokens indicate that the data block was encoded utilizing content independent data compression." The data decompression processor is specially programmed to perform the algorithms taught by Fig. 2 and the related Figures 3A and 3B, among the other teachings of the patent. '203 Patent at 6:52-9:26.

- 85. Further, the file history confirms that the claims were inventive over prior art and not well-understood, routine, and conventional. For instance, the patent claims were allowed by the PTO after the PTO considered hundreds of references, which are cited in the "References Cited" portion of the patent.
- 86. Claim 1 is not representative of all claims of the '203 Patent. For example, claim 14 claims the use of a "an output interface, coupled to the data decompression engine, configured to output a decompressed data packet including the first or the second

decompressed data block". Claim 21 does not claim the use of tokens to perform the analysis but instead claims "analyzing content of a data block from among the plurality of data blocks to determine any characteristic, attribute, or parameter of the data block, wherein the analyzing of the data within the data block excludes analyzing based on a descriptor that is indicative of the characteristic, attribute, or parameter of the data block." [THIS NEXT SENTENCE IS MISSING SOMETHING: Claim 27 "an output interface, coupled to the data compression processor, configured to output a recognizable data token identifying the selected one or more compression encoders, the recognizable data token including any recognizable data token representative of one or more values in the compressed data packet".

- 87. The claims do not merely recite a result. Instead, they recite specific steps for accomplishing a result—a processor configured to analyze data in the claimed manner and compress two data blocks with two different compression techniques.
- 88. The dependent claims contain limitations not found in the independent claims. For example, dependent claim 2 recites "herein the decompressing is performed by an entity different than the compression encoder performing the compression."; claim 4 recites "wherein the step of decompressing the compressed data block with the appropriate decompression decoder, when the one or more recognizable data tokens indicate that the compressed data block was encoded utilizing content independent data compression occurs prior to the step of decompressing the compressed data block with the appropriate decompression decoder, when the one or more recognizable data tokens indicate that the compressed data block was encoded utilizing content dependent data compression"; claim 8 recites "wherein the method of decompressing the one or more compressed data blocks

is performed in real-time"; claim 15 recites "wherein the one or more decompression decoders and their associated compression encoders utilize lossy techniques."

- 89. In a patent filed by Altera in 2012, it admitted that there was still a technical problem associated with computer capacity and a need for a more efficient compression system: "In order to better meet the requirements of higher speed data transfer, reduced memory utilization and minimal computation in many computing applications, a need exists for computationally efficient compression and decompression." U.S. Pat. No. 9,026,568 at 2:43-47.
- 90. Similarly, in a 2013 patent filed by Western Digital, it also admitted that there was still a technical problem associated with computer capacity and a need for a more efficient compression system: "It is desirable to provide mechanisms and architectures for increasing capacity, reliability, and performance of data storage systems." U.S. Pat. No. 9,448,738 at 1:33-35.
- 91. The statements in these later-filed patents confirm that the '203 Patent is directed to technical solutions to technical problems, and improves computer functionalities. The statements in these later-filed patents also confirm that the limitations recited in the '203 Patent are not well-understood, routine, or conventional, and that the claims are not directed to other ideas "identified by the courts as abstract ideas," that recently have been synthesized into three groups: "(a) mathematical concepts"; "(b) methods of organizing human activity"; or "(c) mental processes." 84 Fed. Reg. 50 (Jan. 7, 2019) (2019 PTO §101 Guidance, citing and surveying post-*Alice* decisions).
- 92. On information and belief, Reduxio has offered for sale, sold and/or imported into the United States Reduxio products and services that infringe the '203 Patent,

and continues to do so. By way of illustrative example, these infringing products and services include, without limitation, Reduxio's NoDup, BackDating, NoRestore, NoMigrate, and Tier-X products and services, and the system hardware on which they operate, and all versions and variations thereof since the issuance of the '203 Patent (the "Accused Instrumentalities").

93. On information and belief, Reduxio has directly infringed and continues to infringe the '203 Patent, for example, through its own use and testing of the Accused Instrumentalities, which in the ordinary course of their operation form a system, claimed by Claim 14 of the '203 Patent, for decompressing one or more compressed data blocks included in one or more data packets using a data decompression engine, the one or more data packets being transmitted in sequence from a source that is internal or external to the data decompression engine, wherein a data packet from among the one or more data packets comprises a header containing control information followed by one or more compressed data blocks of the data packet. The claimed system includes: a data decompression processor configured to analyze the data packet to identify one or more recognizable data tokens associated with the data packet, the one or more recognizable data identifying a selected encoder used to compress one or more data blocks to provide the one or more compressed data blocks, the encoder being selected based on content of the one or more data blocks on which a compression algorithm was applied; one or more decompression decoders configured to decompress a compressed data block from among the one or more compressed data blocks associated with the data packet based on the one or more recognizable data tokens; wherein: the one or more decompression decoders are further configured to decompress the compressed data block utilizing content dependent data decompression to provide a first decompressed data block when the one or more recognizable data tokens indicate that the data block was encoded utilizing content dependent data compression; and the one or more decompression decoders are further configured to decompress the compressed data block utilizing content independent data decompression to provide a second decompressed data block when the one or more recognizable data tokens indicate that the data block was encoded utilizing content independent data compression; and an output interface, coupled to the data decompression engine, configured to output a decompressed data packet including the first or the second decompressed data block. Upon information and belief, Reduxio uses the Accused Instrumentalities, which are infringing systems, for its own internal non-testing business purposes, while testing the Accused Instrumentalities, and while providing technical support and repair services for the Accused Instrumentalities to Reduxio's customers.

- 94. On information and belief, Reduxio has had knowledge of the '203 Patent since at least the filing of this Complaint or shortly thereafter, and on information and belief, Reduxio knew of the '203 Patent and knew of its infringement, including by way of this lawsuit.
- 95. Upon information and belief, Reduxio's affirmative acts of making, using, and selling the Accused Instrumentalities, and providing implementation services and technical support to users of the Accused Instrumentalities, have induced and continue to induce users of the Accused Instrumentalities to use them in their normal and customary way to infringe Claim 14 of the '203 Patent by making or using a system for decompressing, one or more compressed data blocks included in one or more data packets using a data decompression engine, the one or more data packets being transmitted in sequence from a source that is internal or external to the data decompression engine, wherein a data packet from among the one or more data packets comprises a header containing control information followed by one or more compressed data blocks of the data packet the system claimed by Claim 14 of the '203 Patent, including: a data decompression processor configured to analyze the data packet to identify one or more recognizable data tokens associated with the data packet, the one or more recognizable data identifying a selected encoder used to compress one or more data blocks to provide the one or more compressed data blocks, the encoder being selected based on content of the one or more data blocks on

which a compression algorithm was applied; one or more decompression decoders configured to decompress a compressed data block from among the one or more compressed data blocks associated with the data packet based on the one or more recognizable data tokens; wherein: the one or more decompression decoders are further configured to decompress the compressed data block utilizing content dependent data decompression to provide a first decompressed data block when the one or more recognizable data tokens indicate that the data block was encoded utilizing content dependent data compression; and the one or more decompression decoders are further configured to decompress the compressed data block utilizing content independent data decompression to provide a second decompressed data block when the one or more recognizable data tokens indicate that the data block was encoded utilizing content independent data compression; and an output interface, coupled to the data decompression engine, configured to output a decompressed data packet including the first or the second decompressed data block. For example, Reduxio explains to customers the benefits of using the Accused Instrumentalities: "Unlike other solutions, both deduplication and compression are combined for maximum savings for practically any data – databases, virtual machines, file shares and more." See https://www.reduxio.com/wpcontent/themes/reduxio/brief/Reduxio_NoDup_PB.pdf. For similar reasons, Reduxio also induces its customers to use the Accused Instrumentalities to infringe other claims of the '203 Patent. Reduxio specifically intended and was aware that these normal and customary activities would infringe the '203 Patent. Reduxio performed the acts that constitute induced infringement, and would induce actual infringement, with the knowledge of the '203 Patent and with the knowledge, or willful blindness to the probability, that the induced acts would constitute infringement. On information and belief, Reduxio engaged in such inducement to promote the sales of the Accused Instrumentalities. Accordingly, Reduxio has induced and continues to induce users of the accused products

to use the accused products in their ordinary and customary way to infringe the '203 Patent, knowing that such use constitutes infringement of the '203 Patent.

- 96. The Accused Instrumentalities form a system for decompressing one or more compressed data blocks included in one or more data packets using a data decompression engine, the one or more data packets being transmitted in sequence from a source that is internal or external to the data decompression engine. The Accused Instrumentalities utilize multiple formats of compression to compress data for backup. See, e.g., https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is and compressed on the fly."); https://beyondtheblocks.reduxio.com/ demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while To recover data from backup, the Accused Instrumentalities deleting the rest."). decompress the data.
- 97. The data packets from among the one or more data packets in the Accused Instrumentalities include a header containing control information followed by one or more compressed data blocks of the data packet. The header containing control information contains information used to determine which compression format was used to compress the data. *See*, *e.g.*, https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is deduped and compressed on the fly."); https://beyondtheblocks.reduxio.com/demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").
- 98. The Accused Instrumentalities utilize multiple formats of compression to compress data for backup. See, e.g., https://www.reduxio.com/products/timeos/nodupdata-deduplication. ("All data is deduped and compressed on the fly."); https://beyondtheblocks.reduxio.com/demystifying-data-deduplication ("In the data

deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").

- 99. To decompress the data, the Accused Instrumentalities include one or more decompression decoders configured to decompress a compressed data block from among the one or more compressed data blocks associated with the data packet based on the one recognizable tokens. or more data See. e.g., https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is compressed on the fly."); https://beyondtheblocks.reduxio.com/ deduped demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").
- 100. One of the compression formats in the Accused Instrumentalities is content dependent data decompression. *See*, *e.g.*, https://beyondtheblocks.reduxio.com/demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest."). The one or more decompression decoders in the Accused Instrumentalities are further configured to decompress the compressed data block utilizing content dependent data decompression to provide a first decompressed data block when the one or more recognizable data tokens indicate that the data block was encoded utilizing content dependent data compression.
- 101. One of the compression formats in the Accused Instrumentalities is content independent data decompression. *See*, *e.g.*, https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is deduped and compressed on the fly."). The one or more decompression decoders in the Accused Instrumentalities are further configured to decompress the compressed data block utilizing content independent data decompression to provide a second decompressed data block when the one or more recognizable data

tokens indicate that the data block was encoded utilizing content independent data compression.

- 102. The Accused Instrumentalities include an output interface, coupled to the data decompression engine, configured to output a decompressed data packet including the first or the second decompressed data block. For example, the Accused Instrumentalities include interfaces for connections to storage media, including output interfaces. *See, e.g.*, https://www.reduxio.com/wp-content/uploads/2016/12/Reduxio_Mellanox_Solution_Brief.pdf. Furthermore, the Accused Instrumentalities have memory, such as volatile memory, into which decompressed data can be written. On information and belief, all of the Accused Instrumentalities have network connections that provide an output interface, coupled to the data decompression engine, configured to output a decompressed data packet including the first or the second decompressed data block.
- 103. On information and belief, Reduxio also infringes, directly and through induced infringement, and continues to infringe other claims of the '203 Patent, for similar reasons as explained above with respect to Claim 14 of the '203 Patent.
- 104. On information and belief, use of the Accused Instrumentalities in their ordinary and customary fashion results in infringement of the methods claimed by the '203 Patent.
- 105. By making, using, offering for sale, selling and/or importing into the United States the Accused Instrumentalities, and touting the benefits of using the Accused Instrumentalities' compression features, Reduxio has injured Realtime and is liable to Realtime for infringement of the '203 Patent pursuant to 35 U.S.C. § 271.
- 106. As a result of Reduxio's infringement of the '203 Patent, Plaintiff Realtime is entitled to monetary damages in an amount adequate to compensate for Reduxio's infringement, but in no event less than a reasonable royalty for the use made of the invention by Reduxio, together with interest and costs as fixed by the Court.

COUNT IV

INFRINGEMENT OF U.S. PATENT NO. 9,116,908

- 107. Plaintiff Realtime realleges and incorporates by reference paragraphs the foregoing paragraphs, as if fully set forth herein.
- 108. Plaintiff Realtime is the owner by assignment of United States Patent No. 9,116,908 ("the '908 Patent") entitled "System and methods for accelerated data storage and retrieval." The '908 Patent was duly and legally issued by the United States Patent and Trademark Office on August 25, 2015, and Claims 1, 2, 4-6, 9, 11, 21, 22, 24, and 25 of the '908 Patent confirmed as patentable in a Final Written Decision of the Patent Trial and Appeal Board on October 31, 2017. A true and correct copy of the '908 Patent is included as Exhibit D.
- 109. Consistent with the claim constructions above, Plaintiff Realtime identifies the following additional constructions applicable to the claims of the '908 Patent:
 - a. "data accelerator: "hardware or software with one or more compression encoders."
- 110. The 'claims of the '908 Patent are not abstract, but rather are limited to particularized technological solutions that improve computer capabilities—e.g., digital data compression systems and methods to improve the ability of a computer system to compress and store data blocks on memory devices more efficiently. Specifically, the '908 Patent claims are directed to: computerized systems and methods for compressing and storing digital data blocks on memory devices by (1) compressing first and second data blocks with different compression techniques and (2) storing the compressed data blocks on a memory device; wherein (3) compressing and and storing the compressed data blocks

occurs faster than storing the uncompressed data blocks on the memory device. *See* '908 Patent at Abstract; claim 1.

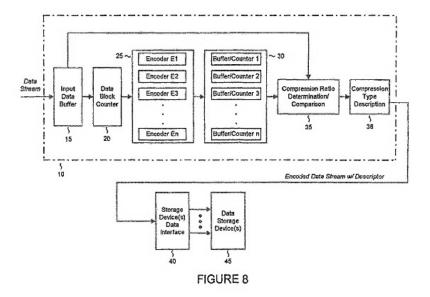
- data blocks (each of which can comprise complete files or collection of multiple files) and are designed to improve the ability of a computer system to compress and store data blocks on memory devices more efficiently, the claims of the '908 Patent are incapable of being performed by pen and pencil. For example, it is not possible by pen and paper to compare "compression and storage time" (i.e., the time required to compress first and second data blocks with different compression techniques and storing the compressed data blocks on a memory device) against "storage time without compression" (i.e., the time required to the uncompressed data blocks on the memory device without compression). Thus, it is not possible to ensure by pen and paper that "compression and storage time" occurs faster than "storage time without compression." Moreover, as data has been construed previously, and should be construed herein, to mean "digital data." Accordingly, the claims of the '908 Patentreflect an improvement to computing technology and computers and do not reflect a previously existing solution that are simply being performed on a computer.
- 112. The '908 Patent teaches improved, particularized digital data compression systems and methods to address problems specific to digital data. As discussed above, "data block" should be construed as "a single unit of data, which may range in size from individual bits through complete files or collection of multiple files"; and "compressing / compressed / compression" should be construed as "[representing / represented / representation] of data with fewer bits." The '908 Patent itself states that states that it deals specifically with limitations and problems arising in the realm of compressing "[d]iffuse

digital data" which is "a representation of data that . . . is typically not easily recognizable to humans in its native form." '908 Patent at 1:32-36.

- 113. In their most basic form, and ignoring many claim limitations, the claims of the '908 Patent are directed to systems of digital data compression utilizing a plurality of different compression encoders for accelerated compression and storage of data blocks. *See* '908 Patent at Abstract, 2:58–3:58. The '908 Patent addresses problems that existed in the realm of digital data compression, including:
 - a. "high performance disk interface standards . . . offer only the promise of higher data transfer rates through intermediate data buffering in random access memory"
 - b. "[f]aster disk access data rates are only achieved by the high cost solution of simultaneously accessing multiple disk drives with a technique known within the art as data striping"
- c. "problems with bandwidth limitations similarly occur within the art by all other forms of sequential, pseudorandom, and random access mass storage devices"
 '908 Patent at 2:19–54.
- 114. The '908 Patent solves the foregoing problems with novel technological solutions in digital data compression utilizing a plurality of different encoders, and optionally a compression descriptor, for accelerated storage and retrieval of data blocks. The novel approaches taught in the specification, include:
 - a. Using digital compression type descriptor "for output so as to indicate the type of compression format of the encoded data block"

- b. "data storage and retrieval accelerator method and system [being]
 employed in a disk storage adapter to reduce the time required to store
 and retrieve data from computer to a disk memory device"
- c. "data storage and retrieval accelerator method and system [being] employed in conjunction with random access memory to reduce the time required to store and retrieve data from random access memory"
- d. "provid[ing] an effective increase of the data storage and retrieval bandwidth of a memory storage device"

'908 Patent at 2:58–3:54; 11:46–13:41. Figure 8 illustrates one preferred embodiment:



115. The claims of the '908 Patent do not merely recite a result. Instead, they recite specific steps for accomplishing a result—e.g., a data accelerator, comprising a memory device, two encoders, and a processor configured to compress two data blocks with two different compression techniques. The specification confirms this. To achieve continuous data storage acceleration, the '908 Patent discloses a data storage accelerator 10 and recognizes that "the advantage of introducing a minimum delay in the time from

receipt of input to storage of encoded data blocks" may be necessary in some real-time systems. '908 Patent at 7:4-8:13; 7:31-34; 8:8-10. As illustrated in Figure 8, "the data storage accelerator 10 accepts data blocks from an input data stream and stores the input data block in an input [data] buffer or cache 15." *Id.* at 11:23-26. To compress the received data, "[t]he encoder module 25 successively receives as input each of the buffered input data blocks (or unbuffered input data blocks from the [data block counter] 20)." *Id.* at 11:52-54. "Data compression is [then] performed by the encoder module 25 wherein each of the encoders E1 . . . En processes a given input data block and outputs a corresponding set of encoded data blocks." *Id.* at 11:54-57.

several concepts that compress the received data blocks with minimal compression delay. *Id.* at 11:62-12:13; 13:22-31. First, "the encoders E1 through En of encoder module 25 may operate in parallel . . . , by executing on a plurality of processor[s] or dedicated hardware systems, or any combination thereof)." *Id.* at 11:64-12:2. Second, "a timer is included to measure the time elapsed during the encoding process against [] a priorispecified time limit" and ensure real-time or pseudo realtime encoding. *Id.* at 13:24-31. Thus, "[w]hen the time limit expires, only the data output from those encoders (in the encoder module 25) that have completed the present encoding cycle are compared to determine the encoded data with the highest compression ratio." *Id.* at 13:26-30. Third, "encoders of the identical type may be applied in parallel to enhance encoding speed." *Id.* at 12:9-11. "For instance, encoder E1 may comprise two parallel Huffman encoders for parallel processing of an input data block." *Id.* at 12:11-13. By utilizing the above teachings, the data storage accelerator 10 would have allowed a system to compress and

store at least two data blocks using at least two different compression techniques faster than the same system is able to store those same data blocks without any compression, as claim 1 requires.

- 117. In addition to continuous data storage acceleration, the '908 Patent also recognizes that in some instances, the output rate of the data storage accelerator 10 may exceed the data storage rate capability of the data storage device 45. *Id.* at 9:29-10:10. To ensure compatibility in such instances, the '908 Patent discloses "[t]iming and counting [the input data block to] enable[] determination of the bandwidth of the input data stream." *Id.* at 9:27-28. And "[i]f the bandwidths are not compatible, then one or more system parameters may be modified to make the bandwidths compatible." *Id.* at 9:41-43. Thus, a POSA would have understood from the teaching of the '908 Patent's specification that (1) a compatibility problem may arise between the output rate of the data storage accelerator 10 and the write speed capability of the storage device; and (2) a solution to that problem is to make the bandwidths compatible between the data storage accelerator 10 and data storage device 45 by reducing the bandwidth of the compressed data stream so as to not exceed the bandwidth of the data storage device 45. This problem and solution is reflected in claim 26 of the '908 Patent.
- 118. The claims of the '908 Patent require unconventional combination of elements, e.g.: (a) "a data accelerator" (i.e., hardware or software with one or more compression encoders) that use at least two different compression techniques; (b) "a memory device" (c) where the data accelerator is configured to compress two data blocks; (d) including "a first data block with a first compression technique"; and (e) a "second data block with a second [and different] compression technique." The data accelerator is

unconventional, as it requires compression encoders using two different compression techniques and the structural capability of compressing and storing digital data on a memory device faster than the digital data can be stored on the memory device in uncompressed form.

- 119. This "occurs faster than" limitation is unconventional, both alone and in an ordered combination with other claim elements. The prior art does not expressly disclose this limitation and defendants challenging the validity of the '908 Patent have not asserted that any prior art discloses this limitation or anticipates the '908 Patent. This is evidenced, for example, by the outcome of IPRs on the '908 Patent and related '530 Patent. *See* IPR2016-01002 (FWD on '908 Patent), Paper 71 (PTAB Oct. 31, 2017) (affirmed by Federal Circuit); IPR2016-00972 (FWD on '530 Patent), Paper 71 (PTAB May 15, 2018) (affirmed by Federal Circuit).
- 120. In the FWDs, for example, the PTAB addressed obviousness challenges as to whether the combination of two prior art references rendered obvious the "occurs faster than" limitation. In those IPRs, the PTAB found that Petitioners had not demonstrated a person of ordinary skill would be motivated to combine prior art references to at arrive at the occurs faster limitation. The PTAB also found that Petitioners had not demonstrated a reasonable expectation of success in the combination. As the PTAB stated: "we find that Petitioner failed to meet its burden of proof in showing: (1) how specific references could be combined, which combination(s) of elements in specific references would yield a predictable result, or how any specific combination would operate or read on the asserted claims; which is closely related to point number (2), namely, whether a person having ordinary skill in the art on this record could have had a reasonable expectation of success

in attempting to combine the teachings of Franaszek with Osterlund." IPR2016-001002, Paper 71 at 20.

- 121. Further, the file history confirms that the claims were inventive over prior art and not well-understood, routine, and conventional. For instance, the claims were allowed by the PTO after the PTO considered hundreds of references, which are cited in the "References Cited" portion of the '908 Patent.
- 122. Claim 1 is not representative of all claims of the '908 Patent. In particular, the dependent claims contain limitations not found in the independent claims that further confirm that the claims are (1) are directed to digital data compression systems that improve the function and operation of a computer; and (2) recite unconventional elements taken alone or as an ordered combination. For example:
 - a. Dependent claims 2–3, 22 require that the data accelerator store a first or second data descriptor is stored on the memory device indicative of the first or second compression technique, where the first / second descriptor is utilized to decompress the portion of the compressed data blocks associated with the first / second data block. Thus, claims 2–3, 22 require a data accelerator that has the structural capability of storing a data descriptor on the memory device.
 - b. Dependent claims 4–5 require that the data accelerator retrieve either the first descriptor or compressed first and second compressed data blocks from the memory device. Thus, claims 4–5 requires a data accelerator that has the structural capability of retrieving a descriptor compressed data from the memory device.

- c. Dependent claim 6 requires that the data accelerator perform decompression; while dependent claims 7, 23, and 28 require that the retrieval and decompression occurs faster than retrieving the data block in compressed form.
- d. Dependent claim 8 requires that the data accelerator is coupled to the memory device via an industry standard disk interface.
- e. Dependent claim 13 requires that the first compression technique includes compressing with a plurality of encoders "in a parallel configuration."
- f. Dependent claim 18 requires the first and second data blocks comprise audio or video information.
- g. Dependent claims 19 and 25 require the first and second data blocks to be received over a communications channel.
- h. Dependent claim 20 requires that the first compression technique is "content dependent" and the second compression technique is a form of dictionary compression.
- i. Dependent claim 26 require determining the "bandwidth" of received data blocks; and adjusting a data rate of the compressed data blocks by "modifying a system parameter, to make a bandwidth of the compressed data blocks compatible with a bandwidth of the memory device."
- j. Independent claim 29 requires (1) retrieving first and second compressed data blocks compressed with different techniques from a memory device; and (2) decompressing first and second compressed data blocks; wherein (3) retrieving and decompressing the compressed data blocks occurs faster

- than retrieving the uncompressed data blocks from the memory device in uncompressed form.
- 123. In a patent filed by Altera in 2012, it admitted that there was still a technical problem associated with computer capacity and a need for a more efficient compression system "In order to better meet the requirements of higher speed data transfer, reduced memory utilization and minimal computation in many computing applications, a need exists for computationally efficient compression and decompression." U.S. Pat. No. 9,026,568 at 2:43-47.
- 124. Similarly, in a 2013 filed by Western Digital, it also admitted that there was still a technical problem associated with computer capacity and a need for a more efficient compression system: "It is desirable to provide mechanisms and architectures for increasing capacity, reliability, and performance of data storage systems." U.S. Pat. No. 9,448,738 at 1:33-35.
- 125. The statements in these later-filed patents confirm that Realtime's patent at issue here are directed to technical solutions to technical problems, and improves computer functionalities. The statements in these later-filed patents also confirm that the limitations recited in Realtime's patent at issue here are not well-understood, routine, or conventional, and that the claims are not directed to other ideas "identified by the courts as abstract ideas," that recently have been synthesized into three groups: "(a) mathematical concepts"; "(b) methods of organizing human activity"; or "(c) mental processes." 84 Fed. Reg. 50 (Jan. 7, 2019) (2019 PTO §101 Guidance, citing and surveying post-*Alice* decisions).
- 126. On information and belief, Reduxio has offered for sale, sold and/or imported into the United States Reduxio products and services that infringe the '908 Patent,

and continues to do so. By way of illustrative example, these infringing products and services include, without limitation, Reduxio's NoDup, BackDating, NoRestore, NoMigrate, and Tier-X products and services, and the system hardware on which they operate, and all versions and variations thereof since the issuance of the '908 Patent (the "Accused Instrumentality").

- 127. On information and belief, Reduxio has directly infringed and continues to infringe the '908 Patent, for example, through its own use and testing of the Accused Instrumentality, which constitutes a system comprising: a memory device; and a data accelerator configured to compress: (i) a first data block with a first compression technique to provide a first compressed data block; and (ii) a second data block with a second compression technique, different from the first compression technique, to provide a second compressed data block; wherein the compressed first and second data blocks are stored on the memory device, and the compression and storage occurs faster than the first and second data blocks are able to be stored on the memory device in uncompressed form. Upon information and belief, Reduxio uses the Accused Instrumentality, an infringing system, for its own internal non-testing business purposes, while testing the Accused Instrumentality, and while providing technical support and repair services for the Accused Instrumentality to Reduxio's customers.
- 128. On information and belief, use of the Accused Instrumentality in its ordinary and customary fashion results in infringement of the systems claimed by the '908 Patent.
- 129. On information and belief, Reduxio has had knowledge of the '908 Patent since at least the filing of this Complaint or shortly thereafter, and on information and belief, Reduxio knew of the '908 Patent and knew of its infringement, including by way of this lawsuit.
- 130. Upon information and belief, Reduxio's affirmative acts of making, using, and selling the Accused Instrumentalities, and providing implementation services and

technical support to users of the Accused Instrumentalities, have induced and continue to induce users of the Accused Instrumentalities to use them in their normal and customary way to infringe Claim 1 of the '908 Patent by making or using a system comprising: a memory device; and a data accelerator configured to compress: (i) a first data block with a first compression technique to provide a first compressed data block; and (ii) a second data block with a second compression technique, different from the first compression technique, to provide a second compressed data block; wherein the compressed first and second data blocks are stored on the memory device, and the compression and storage occurs faster than the first and second data blocks are able to be stored on the memory device in uncompressed form. For example, Reduxio explains to customers the benefits of using the Accused Instrumentalities: "Unlike other solutions, both deduplication and compression are combined for maximum savings for practically any data – databases, virtual machines, file shares and more." See https://www.reduxio.com/wpcontent/themes/reduxio/brief/Reduxio_NoDup_PB.pdf. For similar reasons, Reduxio also induces its customers to use the Accused Instrumentalities to infringe other claims of the '908 Patent. Reduxio specifically intended and was aware that these normal and customary activities would infringe the '908 Patent. Reduxio performed the acts that constitute induced infringement, and would induce actual infringement, with the knowledge of the '908 Patent and with the knowledge, or willful blindness to the probability, that the induced acts would constitute infringement. On information and belief, Reduxio engaged in such inducement to promote the sales of the Accused Instrumentalities. Accordingly, Reduxio has induced and continues to induce users of the accused products to use the accused products in their ordinary and customary way to infringe the '908 Patent, knowing that such use constitutes infringement of the '908 Patent.

131. The Accused Instrumentality evidently includes a memory device and a data accelerator configured to compress: (i) a first data block with a first compression technique to provide a first compressed data block; and (ii) a second data block with a second

compression technique, different from the first compression technique, to provide a second compressed data block. For example, the Accused Instrumentalities also use one or more memory devices, including storage media at remote storage facilities, such as, for example, on Flash storage. *See*, *e.g.*, https://www.reduxio.com/products/hx-series. The Accused Instrumentality includes a data accelerator configured to compress: (i) a first data block with a first compression technique (e.g. deduplication) to provide a first compressed data block; and (ii) a second data block with a second compression technique (e.g. compression), different from the first compression technique, to provide a second compressed data block. *See*, *e.g.*, https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All data is deduped and compressed on the fly."); https://beyondtheblocks.reduxio.com/demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").

- 132. The Accused Instrumentality stores the compressed first and second data blocks on the memory device. For example, the Accused Instrumentalities have storage media, such as, for example, on Flash storage, at remote storage facilities controlled by data servers. See, e.g., https://www.reduxio.com/products/hx-series. Also, compressed data blocks are stored temporarily in volatile memory when they are created. compression and storage occurs faster than the first and second data blocks are able to be stored on the memory device in uncompressed form. See. e.g., https://www.reduxio.com/products/timeos/nodup-data-deduplication. ("All compressed on the fly."); https://beyondtheblocks.reduxio.com/ deduped and demystifying-data-deduplication ("In the data deduplication process, the data blocks are analysed to identify duplicate blocks and the system stores only one copy of it while deleting the rest.").
- 133. On information and belief, Reduxio also infringes, directly and through induced infringement, and continues to infringe other claims of the '908 Patent, for similar

reasons as explained above with respect to Claim 1 of the '908 Patent.

- 134. By making, using, offering for sale, selling and/or importing into the United States the Accused Instrumentalities, and touting the benefits of using the Accused Instrumentalities' compression features, Reduxio has injured Realtime and is liable to Realtime for infringement of the '908 Patent pursuant to 35 U.S.C. § 271.
- 135. As a result of Reduxio's infringement of the '908 Patent, Plaintiff Realtime is entitled to monetary damages in an amount adequate to compensate for Reduxio's infringement, but in no event less than a reasonable royalty for the use made of the invention by Reduxio, together with interest and costs as fixed by the Court.

PRAYER FOR RELIEF

WHEREFORE, Plaintiff Realtime respectfully requests that this Court enter:

- a. A judgment in favor of Plaintiff that Reduxio has infringed, either literally and/or under the doctrine of equivalents, the '728 Patent, the '751 Patent, the '203 Patent, and the '908 Patent;
- b. A permanent injunction prohibiting Reduxio from further acts of infringement of the '728 Patent, the '751 Patent, the '203 Patent, and the '908 Patent;
- c. A judgment and order requiring Reduxio to pay Plaintiff its damages, costs, expenses, and prejudgment and post-judgment interest for its infringement of the '728 Patent, the '751 Patent, the '203 Patent, and the '908 Patent; and
- d. A judgment and order requiring Reduxio to provide an accounting and to pay supplemental damages to Realtime, including without limitation, prejudgment and post-judgment interest;
- e. A judgment and order finding that this is an exceptional case within the meaning of 35 U.S.C. § 285 and awarding to Plaintiff its reasonable attorneys' fees against Defendants; and
- f. Any and all other relief as the Court may deem appropriate and just under the circumstances.

DEMAND FOR JURY TRIAL

Plaintiff, under Rule 38 of the Federal Rules of Civil Procedure, requests a trial by jury of any issues so triable by right.

Dated: May 18, 2021

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